



SEFCON I AGENDA

OCTOBER 4, 2010

8:30 a.m. – 9:00 a.m.	Breakfast and Registration
9:00 a.m. – 9:10 a.m.	Welcoming Remarks: Christopher Giancarlo – Conference Chair; Board Member, WMBAA; Executive Vice President, GFI Group Inc.
9:10 a.m. – 10:00 a.m.	Panel 1: OTC Trade Execution Moderator: Dr. Mayiz Habbal – Managing Director, Securities & Investments Group, Celent Panelists: David Hall – Chief Operating Officer, Chatham Financial Julian Harding – Chairman, WMBAA; Executive Director, Tradition Robert Pickel – Executive Vice Chairman, International Swaps and Derivatives Association, Inc. (ISDA) Dexter Senft – Managing Director, Global Head of E-Commerce for Interest Rate, Credit and Currency Businesses, Morgan Stanley
10:00 a.m. – 10:45 a.m.	Keynote Address: Chairman Gary Gensler – Chairman of the Commodity Futures Trading Commission (CFTC)
10:45 a.m. – 11:00 a.m.	Coffee Break
11:00 a.m. – 12:00 p.m.	Panel 2: What is a SEF? Moderator: Kevin McPartland – Senior Analyst, TABB Group Panelists: Athanassios Diplas – Managing Director and Global Head of the Systemic Risk Management Group, Deutsche Bank Christopher Ferreri – Board Member, WMBAA; Managing Director, Hybrid Trading, ICAP North America, Inc. Doug Friedman – Managing Director, General Counsel, Tradeweb LLC Patrick McCarty – U.S. Senate, Senior Staff, Senate Agriculture Committee Michael Piwowar – U.S. Senate, Chief Financial Economist, Senate Banking Committee
12:00 p.m. – 12:40 p.m.	Lunch Break

12:40 p.m. – 1:10 p.m.	Lunch Speaker: Alexander J. McDonald – Chief Executive, Wholesale Markets Brokers' Association, Europe
1:10 p.m. – 2:10 p.m.	<p>Panel 3: SEF Regulation</p> <p>Moderator: Andrew Wels – Board Member, WMBAA; Compliance Director and Regulatory Counsel, BGC Partners, Inc.</p> <p>Panelists: Dan M. Berkovitz – General Counsel, U.S. Commodity Futures Trading Commission (CFTC) Robert W. Cook – Director, Division of Trading and Markets, Securities and Exchange Commission (SEC) Marc Menchel – Executive Vice President and General Counsel for Regulation, Financial Industry Regulatory Authority (FINRA) Daniel J. Roth – President and Chief Executive Officer, National Futures Association (NFA) Stuart Wexler – General Counsel of the Americas, ICAP North America Inc.</p>
2:10 p.m. – 2:30 p.m.	Coffee Break
2:30 p.m. – 3:30 p.m.	<p>Panel 4: SEFs: Clearing, Nondiscriminatory Access, Trade Reporting</p> <p>Moderator: Micah Green – Partner, Patton Boggs LLP</p> <p>Panelists: Peter J. Axilrod – Managing Director, The Depository Trust & Clearing Corporation (DTCC) Peter Barsoom – Chief Operating Officer, ICE Trust Bryan T. Durkin – Chief Operating Officer and Managing Director, Products & Services, CME Group Michael Gooch – Chairman of the Board and Chief Executive Officer, GFI Group Inc. Roger A. Liddell – Chief Executive, LCH.Clearnet Group Limited Stephen Merkel – Board Member, WMBAA; Executive Vice President, General Counsel and Secretary, BGC Partners, Inc.</p>
3:30 p.m. – 4:00 p.m.	Guest Speaker: Congressman Scott Garrett – U.S. House of Representatives, Member of House Financial Services Committee
4:00 p.m. – 4:20 p.m.	Closing Remarks: Christopher Giancarlo – Conference Chair; Board Member, WMBAA; Executive Vice President, GFI Group Inc.
4:30 p.m.– 6:00 p.m.	Reception